

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Finance & Operations Committee
October 10, 2019**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, October 10, 2019 at 9:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Michael Hsu, Mike Kenyanya, Janie Mayeron, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Chancellors Michelle Behr, Lendley Black, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; and Student Representatives present:

The docket materials for this meeting are [available here](#)

**PRESIDENT'S RECOMMENDED 2019 SIX-YEAR CAPITAL PLAN
AND 2020 STATE CAPITAL REQUEST**

Regent McMillan invited President Gabel, Senior Vice President Burnett, and Vice President Berthelsen to present for action the President's recommended 2019 Six-Year Capital Plan and 2020 State Capital Request, as detailed in the docket.

The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to the 2019 Six-Year Capital Plan.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to the 2020 State Capital Request.

The docket materials for this item begin on page 40. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to dining service contract extension for the Twin Cities campus.

REAL ESTATE TRANSACTION

Regent McMillan invited Assistant Vice President Leslie Krueger and Vice President Tolar to present for action the following real estate transactions, as detailed in the docket.

- A. Purchase of 2025 East River Parkway, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus)

The docket materials for this item begin on page 49. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to dining service contract extension for the Twin Cities campus.

Purchase of Goods and Services \$1,000,000 and Over:

- To Cardinal Health for an estimated \$13,200,000 for the purchase of pharmaceuticals and related supplies for Boynton Health Pharmacy for the period of November 1, 2019 through October 31, 2021. This purchase will be paid for from the sale of prescriptions and other items to patients and from payments by U of M departments for pharmaceuticals. Cardinal

Real Estate Transaction

- Lease of Apartment Units at 511 3rd Avenue SW, Rochester, Minnesota (Rochester campus)

Schematic Design

- Student Housing and Dining Center (Duluth campus)

A motion was made and seconded, and the committee voted unanimously to recommend approval of the Consent Report.

INFORMATION ITEMS

Senior Vice President Burnett referred the committee to the information items in the docket:

- FY 2019 Real Estate Report

The docket materials for this item begin on page 127. The closed captioned video of this item is [available here](#).

RESOLUTION TO CONDUCT NON-PUBLIC MEETING OF THE FINANCE & OPERATIONS COMMITTEE PURSUANT TO MINNESOTA STATUTES SECTION 13D.05, SUBDIVISION 3(C)(3)

A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents has balanced the purposes served by the Open Meeting Law and determined that there is a need to review confidential or protected nonpublic real estate data involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(c), a non-public meeting of the Finance & Operations Committee be held on Thursday, October 10, 2019, in the Boardroom, 600 McNamara Alumni Center, for the purpose of developing or considering offers or counteroffers for the sale of the following real property:

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Staff present for the non-public portion: President Joan Gabel; Senior Vice President Brian Burnett; General Counsel Douglas Peterson; Executive Director Brian Steeves; Associate Vice Michael Volna.

Others present for the non-public portion: William Haldeman, Leslie Krueger, Jason Langworthy, Paige Rohman, Brian Slovt, and Elizabeth Zamzow.

The meeting adjourned at 12:08 p.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

Year 2019-20

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Mission Fulfillment Committee
October 10, 2019**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, October 10, 2019 at 2:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Thomas Anderson presiding. 004 Tc 0.001 Tw (R)-2.5 (a)-5.1 (nd)-3.3 (y S)2.9 (i)-8.6 (m)1.9 (o)-5.6

COLLEGE IN THE SCHOOLS AND PSEO STRATEGY

Regent Anderson reconvened the meeting at 3:41 p.m. and invited Bob Stine, Interim Dean, College of Continuing and Professional Studies, Twin Cities campus, and John Hoffman, Vice Chancellor for Academic and Student Affairs, Crookston campus, to give an overview of College in the Schools and Postsecondary Enrollment Options (PSEO), as detailed in the docket.

The docket materials for this item begin on page 34. The closed

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
October 11, 2019**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Friday, October 11, 2019 at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Mary Davenport, Michael Hsu, Mike Kenyanya, and Steve Sviggum.

Staff present: President Joan Gabel; Chancellor Michelle Behr; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, Michael Goh, and Bernard Gulachek; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Eleora DeMuth and Austin Kraft.

The docket materials for this meeting are [available here](#).

ENTERPRISE RISK REVIEW

Regent Rosha invited President Gabel, Executive Vice President and Provost Hanson, and Senior Vice President Burnett.

INFORMATION ITEMS

Chief Auditor Klatt referred the committee to the information items in the docket:

- Semi-Annual Controller's Report
- Semi-Annual Chief Compliance Officer's Report
- Institutional Conflict of Interest Report

The docket materials for this item begin on page 54. The closed captioned video of this item is [available here](#).

The meeting adjourned at 9:05 a.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Litigation Review Committee
October 11, 2019**

A meeting of the Litigation Review Committee of the Board of Regents was held on Friday, October 11, 2019 at 8:00 a.m. in the West Committee Room, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Janie Mayeron, David McMillan, and Randy Simonson.

Staff present: President Joan Gabel; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Sarah Dirksen, Carrie Ryan Gallia, Timothy Pramas, and Brian Slovut.

The docket materials for this meeting are [available here](#).

ANNUAL REPORT ON LEGAL MATTERS

The meeting convened in public session at 8:00 a.m.

Regent Beeson invited General Counsel Peterson to present the was made and seconded that the following resolution

WHEREAS, based on advice of the General Counsel, the Litigation Review Committee has balanced the purposes of the attorney-client privilege, and determined that the confidentiality to discuss litigation strategy in public is in the best interest of the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with the provisions of Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting was held on October 11, 2019 at 8:00 a.m. in the West Committee Room, 600 McNamara Alumni Center, for the purpose of discussing and resolving the following:

I. Potential Claim by Former Student

II.

Year 2019-20

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Governance & Policy Committee
October 11, 2019**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, October 11, 2019 at 9:15 a.m. in the Boardroom Mike McMillan, David Roshka, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Chancellors Michelle Behr, Lendley Black, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, Michael Goh, and

The docket materials for this item begin on page 27. The closed captioned video of this item is [available here](#).

The meeting adjourned at 11:18 a.m.

A handwritten signature in black ink, reading "Brian R. Steeves". The signature is written in a cursive style and is positioned above a horizontal line that is partially obscured by a redaction box.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
October 11, 2019**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, October 11, 2019 at 11:30 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Steve Sviggum, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, and Randy Simonson.

Staff present: Jakub Tolar

Unanimously to approve the following minutes as presented in the docket

Management Committee – September 12, 2019
Operations Committee – September 12, 2019
Compliance Committee – September 13, 2019
Review Committee – September 13, 2019
Minutes – September 13, 2019

For this item begin on page 3. The closed captioned video of this item is

REPORT OF THE PRESIDENT

President Gabel delivered the report of the President. A copy of the Report of the President is on file in the Board Office.

The docket materials for this item begin on page 18. The closed captioned video of this item is [available here](#).

REPORT OF THE CHAIR

Regent Sviggum delivered the report of the Chair. A copy of the Report of the Chair is on file in the Board Office.

The docket materials for this item begin on page 19. The closed captioned video of this item is [available here](#).

RECEIVE AND FILE REPORTS

Regent Sviggum noted that there are no reports to receive and file this month.

The docket materials for this item begin on page 20. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Regent Sviggum presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Ex-Officio Appointment to Fairview Health Services Board of Directors
- Nominations to the University of Minnesota Foundation Board of Trustees
- Installation of Siebert Statue

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report. Regent Rosha abstained from voting.

The docket materials for this item begin on page 21. The closed captioned video of this item is [available here](#).

SYSTEMWIDE STRATEGIC PLAN: STRATEGIC COMMITMENTS

Regent Sviggum invited President Gabel to provide an overview of the strategic commitments in the Systemwide Strategic Plan, as detailed in the docket.

The docket materials for this item begin on page 31. The closed captioned video of this item is [available here](#).

RESEARCH STRATEGY: INCENTIVIZING RESEARCH ACROSS THE SYSTEM

Regent Sviggum invited Vice President Cramer to review the strategy for incentivizing research across the system, as detailed in the docket.

Regent Hsu left the meeting.

The docket materials for this item begin on page 41. The closed captioned video of this item is [available here](#).

REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Anderson, chair of the committee, reported that the committee voted to recommend the following items.

- 1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the October 10, 2019 committee minutes. The committee docket materials for this item can be found on page 89. A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE FIN

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- 2) Approval of the resolution related to the 2020 State Capital Request. The committee docket materials for this item can be found on page 4. A motion was made, and the Board voted unanimously to approve the resolution, as follows.

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit a capital improvement budget and a six-year capital plan in support of the University of Minnesota's (University) strategic priorities; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents approves the University's 2020 State Capital Request in the amount of \$375,800,000 consisting of \$317,200,000 from the State of Minnesota and \$58,600,000 from the University.

- 3) Approval of the resolution related to the dining services contract extension (Twin Cities campus). The committee docket materials for this item can be found on page 40. A motion was made, and the Board voted unanimously to approve the resolution, as follows.

WHEREAS, the Board of Regents (Board) reserves authority to approve purchase of goods and services over \$1 million; and

WHEREAS, the existing Board-approved contract for dining services on the Twin Cities campus provides an option for a four-year extension beginning July 1, 2020; and

WHEREAS, the provision of reliable and high-quality dining services is a critical component in the campus experience.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents, exercising its reserved authority, authorizes the President to negotiate and execute an extension of the existing dining services agreement with Aramark Educational Services LLC for up to two years.

- 4) Approval of the purchase of 2025 East River Parkway, Minneapolis (Twin Cities campus). The committee docket materials for this item can be found on page 49. A motion was made, and the Board voted unanimously to approve the real estate transaction.

- 5)

REPORT OF THE AUDIT & COMPLIANCE COMMITTEE

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE LITIGATION REVIEW COMMITTEE

Regent Beeson, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on October 11, 2019. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the cl5 (1)TJ1.7 (u)-1.4m2.1 (Bt)2.1Rre c (eet)12yo-1.1 (ontorsbe t(o)oe